

Uncovering important intermediate publications

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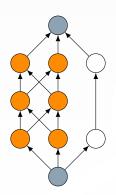
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Intermediate publications

- Historiography: describe development of a field.
- What publications have been important in that development?
- Rely on citations to identify important intermediate publications.





Existing technique

Main path analysis

- Relies on traversal counts of edges.
- Selects path(s) that have a high sum of traversal counts.
- Rewards relatively long paths.
- Conceptually unclear, not always clear results.

Shortest or longest paths

- Shortest paths typically do not include most important publications.
- Longest paths typically include many irrelevant publications.



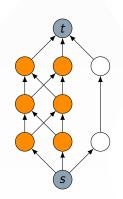
Main idea

Important intermediate publications should be well connected.



Illustration

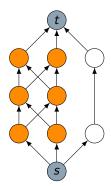
Multiple paths



Short paths



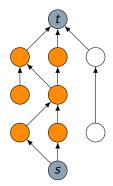
Illustration



- Only some references are relevant.
- Reference is relevant with probability *p*.
- Is there a path (of relevant references) through a node?
- This is intermediacy.



Illustration



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- Reference is relevant with probability p.
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Formal notation

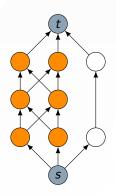
- The probability there is a path from i to j is $Pr(X_{ij})$.
- Intermediacy is the probability node u lies on a path from s to t.
- Intermediacy of node *u* from source *s* to target *t* is

$$\phi_u = \Pr(X_{st}^u) = \Pr(X_{su}) \Pr(X_{ut})$$



Behaviour

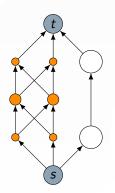
How does intermediacy behave?





Behaviour

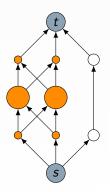
For $p \rightarrow 0$ shortest paths are most important.





Behaviour

For $p \rightarrow 1$ number of independent paths are most important.

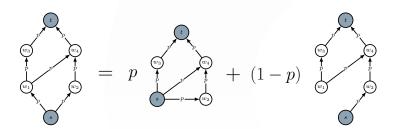




Exact algorithm

Decomposition algorithm by edge contraction & removal

$$Pr(X_{st} | G) = p Pr(X_{st} | G/e) + (1-p) Pr(X_{st} | G-e)$$



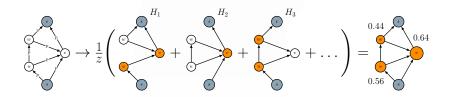
Runs in exponential time



Approximate algorithm

Simple Monte Carlo simulation algorithm by sampling

$$\phi_u = \Pr(X^u_{st} \mid G) = \frac{1}{z} \sum_{k=1}^z \mathrm{I}(X^u_{st} \mid H_k)$$



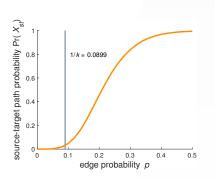
Runs in linear time using probabilistic depth-first search.

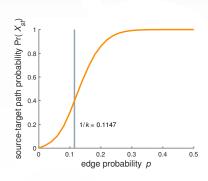


Phase transition

For what p does s-t path exist and is intermediacy $\phi_u > 0$?

$$p \ge n/2m = 1/k$$

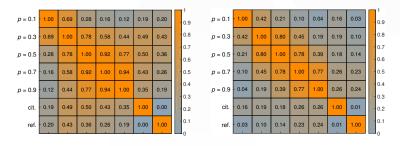






Intermediacy \neq centrality

Correlation between intermediacy and citations/references



Intermediacy $\boldsymbol{\phi}$ uncorrelated with standard centrality measures

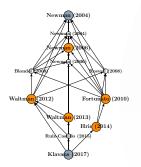


Modularity example

source Klavans & Boyack (2017), Which type of citation analysis generates, JASIST 68(4), 984-998.

target Newman & Girvan (2004), Finding and evaluating community structure in networks, Phys. Rev. E 69(2),

026113.



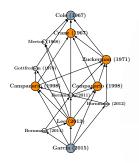
- 1 Waltman & Van Eck (2013), A smart local moving algorithm for largescale modularity-based community detection. EPJB 86, 471.
- Waltman & Van Eck (2012), A new methodology for constructing a publication-level classification system..., JASIST 63(12), 2378-2392.
- 3 Hric et al. (2014), Community detection in networks: Structural communities versus ground truth, *Phys. Rev. E* 90(6), 062805.
- 4 Fortunato (2010), Community detection in graphs, *Phys. Rep.* 486(3-5), 75-174.
- Newman (2006), Modularity and community structure in networks, PNAS 103(23), 8577-8582.
- 6 Ruiz-Castillo & Waltman (2015), Field-normalized citation impact indicators using algorithmically..., J. Informetr. 9(1), 102-117.
- 7 Blondel et al. (2008), Fast unfolding of communities in large networks, J. Stat. Mech., P10008.
- 8 Newman (2006), Finding community structure in networks using the eigenvectors of matrices, *Phys. Rev. E* 74(3), 036104.
- Newman (2004), Fast algorithm for detecting community structure in networks, *Phys. Rev. E* 69(6), 066133.
- Rosvall & Bergstrom (2008), Maps of random walks on complex networks reveal community structure, PNAS 105(4), 1118-1123.



Peer review example

source Garcia et al. (2015), The author-editor game, Scientometrics 104(1), 361-380.

target Cole & Cole (1967), Scientific output and recognition, Am. Sociol. Rev. 32(3), 377-390.



- 1 Lee et al. (2013). Bias in peer review. JASIST 64(1), 2-17.
- Zuckerman & Merton (1971), Patterns of evaluation in science: Institutionalisation, structure and functions..., Minerva 9(1), 66-100.
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- 8 Bornmann (2012), The Hawthorne effect in journal peer review, Scientometrics 91(3), 857-862.
- 9 Bornmann (2014), Do we still need peer review? An argument for change, JASIST 65(1), 209-213.
- 10 Merton (1968), The Matthew effect in science, *Science* 159(3810), 56-63.



Conclusions

Main points

- Intermediacy new measure of importance of publications.
- Favours short paths & many independent paths.
- Shows promising results in case studies.

Future work

- Axiomatic framework for path probability.
- Applicability on general (directed) graphs?



Paper soon on arXiv.org Code soon on github.com

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